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ATTY. DOCKET NO. 1700.0610001/BJDWBC FIRST NAMED INVENTOR

APPLICATION NO. 10/550,580

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Bachmann, M. FILING DATE September 23, 2005

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AB1	3 DATE	SUB-CLASS FI	CLASS	NAME	DATE	DOCUMENT NUMBER		
AC1 5,143,726 09/01/1992 Thornton et al. 11/20/1 AD1 5,334,394 08/02/1994 Kossovsky et al. 01/04/1 AE1 5,565,548 10/15/1996 Neurath et al. 03/15/1 AF11 5,698,424 12/16/1997 Mastico et al. 06/26/1 AG1 5,844,075 12/01/1998 Kawakami et al. 04/05/1 AH1 5,871,747 02/16/1999 Gengoux-Sedlik et al. 09/13/1 AI1 5,874,560 02/23/1999 Kawakami et al. 04/22/1 // NK/ AK1 5,939,598 08/10/1999 Chatterjee et al. 07/30/1 EXAMINER NUMBER DATE COUNTRY CLASS SUB-CLASS TRANS INITIAL DOCUMENTS INITIAL DOCUMENT DATE COUNTRY CLASS SUB-CLASS TRANS INITIAL PP 0 385 610 B1 09/05/1990 Europe AN1 EP 0 201 416 B1 04/10/1991 Europe // NK/ AP1 W0 92/13081 A1 08/06/1992 WIPO OTHER (Including Author, Title, Date, Pertinent Pages, etc.)	1985	os		Valenzuela et al.	02/02/1988	4,722,840	AA1	/NK/
S,143,726 09/01/1992 Thornton et al. 11/20/1 AD1 5,334,394 08/02/1994 Kossovsky et al. 01/04/1 AE1 5,565,548 10/15/1996 Neurath et al. 03/15/1 AF11 5,698,424 12/16/1997 Mastico et al. 06/26/1 AG1 5,844,075 12/01/1998 Kawakami et al. 04/05/1 AH1 5,871,747 02/16/1999 Gengoux-Sedlik et al. 09/13/1 AI1 5,874,550 02/23/1999 Kawakami et al. 04/22/1 AJ1 5,935,821 08/10/1999 Chatterjee et al. 11/21/1 INK/ AK1 5,939,598 08/17/1999 Kucherlapati et al. 07/30/1 EXAMINER DOCUMENT NUMBER DATE COUNTRY CLASS SUB-CLASS TRANS TR	1985	02		Mark et al.	09/25/1990	4,959,314	AB1	
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FIRST NAMED INVENTOR Bachmann, M.		
FILING DATE	ART UNIT	

FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT

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EXAMIN INITIAL			DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
/NI	K/	AA2	5,994,523	11/30/1999	Kawakami et al.			01/16/1998
		AB2	6,025,470	02/15/2000	Valmori et al.			06/23/1997
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/\	VK/	AL2	EP 0 578 293 A1	01/12/1994	Europe			Yes No
		AM2	WO 94/15585	07/21/1994	WIPO			Yes No
		AN2	WO 95/29193 A2	11/02/1995	WIPO			Yes No
		AO2	WO 96/30523 A2	10/03/1996	WIPO			Yes No
/\	NK/	AP2	EP 0 677 111 B1	10/18/1995	Europe			Yes No
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	ATTY. DOCKET NO. 1700.0610001/BJD/WBC	APPLICATION NO. 10/550,580	_
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	AM3	WO 98/50071 A1	11/12/1998	WIPO			Yes No
	AN3	WO 98/49195 A1	11/05/1998	WIPO			Yes No
V	AO3	WO 98/58751 A1	12/30/1998	WIPO			Yes X No
/NK/	AP3	WO 99/28478 A1	06/10/1999	WIPO			Yes No
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/NK/	AL4	WO 99/40934 A1	08/19/1999	WIPO			Yes No
	AM4	WO 99/57289 A2	11/11/1999	WIPO			Yes No
	AN4	WO 00/23955 A1	04/27/2000	WIPO			Yes No
<u> </u>	A04	WO 00/32227 A2		WIPO			Yes No
/NK/	AP4	WO 00/37610 A2		WIPO			Yes No
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	ATTY. DOCKET NO. 1700.0610001/BJD/WBC	APPLICATION NO. 10/550,580	•
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/INIV	AL6	WO 01/22990 A2	04/05/2001	WIPO			No
	AM6	WO 01/56603 A1	08/09/2001	WIPO			Yes No
	AN6	WO 01/58478 A1	08/16/2001	WIPO			Yes No
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/NK/	AL7	WO 01/98333 A2	12/27/2001	WIPO			Yes No
	AM7	WO 02/14478 A2	02/21/2002	WIPO			Yes No
	AN7	WO 02/053141 A2	07/11/2002	WIPO			Yes No
<u> </u>	A07	WO 02/056905 A2	07/25/2002	WIPO			Yes No
/NK/	AP7	WO 02/056907 A2	07/25/2002	WIPO			Yes No
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/NK/	AL8	WO 03/024480 A2	03/27/2003	WIF					Yes No
	AM8	WO 03/024481 A2	03/27/2003	WIF	20				Yes No
	AN8	WO 03/031466 A2	04/17/2003	WIF	20				Yes No
V	AO8	WO 03/039225 A2	05/15/2003	WIF	20				Yes No
/NK/	AP8	WO 03/040164 A2		WIF					Yes No
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	AM9	WO 2004/000351 A1	12/31/2003	WIPO			Yes No
V	AN9	WO 04/007538 A2	01/22/2004	WIPO			Yes No
/NK/	AO9	WO 2004/016282 A1	02/26/2004	WIPO			Yes No
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STATEMENT BY APPLICANT				First Named Inventor	Martin F. BACHMANN		
			necessary)	Art Unit	1645		
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Sheet	1	of	9	Attorney Docket Number	1700.0610001/BJD/WBC		

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Examiner		Date	
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Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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INFORM	INFORMATION DISCLOSURE			Filing Date	September 23, 2005	
			PLICANT	First Named Inventor	Martin F. BACHMANN	
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Sheet	2	of	9	Attorney Docket Number	1700.0610001/ВJD/WBC	

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Examiner Initials* Cite No.1 /NK/ NPL11		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T²
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				Application Number	10/550,580
INFORM	ATION	DISC	CLOSURE	Filing Date	September 23, 2005
			PLICANT	First Named Inventor	Martin F. BACHMANN
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				Application Number	10/550,580	
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STATEMENT BY APPLICANT				First Named Inventor	Martin F. BACHMANN	
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Sheet	4	of	9	Attorney Docket Number	1700.0610001/BJD/WBC	

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				Art Unit	1645		
				Examiner Name	To Be Assigned		
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STATEMENT BY APPLICANT			PLICANT	First Named Inventor	Martin F. BACHMANN		
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Sheet	7	of	9	Attorney Docket Number	1700.0610001/BJD/WBC		

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Examiner Initials* Cite No. 1 /NK/ NPL61		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T-2
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			•	Application Number	10/550,580	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Filing Date	September 23, 2005	
				First Named Inventor	Martin F. BACHMANN	
				Art Unit	1645	
				Examiner Name	To Be Assigned	
Sheet	9	of	9	Attorney Docket Number	1700.0610001/BJD/WBC	

		NON PATENT LITERATURE DOCUMENTS			
Examiner Cite Initials* No.1		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published			
/NK/	NPL81	Van Schooten, W., et al., "Biological properties of dendritic cells: implications to their use in the treatment of cancer," Mol. Med. Today 255:254-260, Elsevier Science Ltd. (1997)			
	NPL82	Vasiljeva, I., et al., "Mosaic Qβ coats as a new presentation model," FEBS Lett. 431:7-11, Federation of European Biochemical Societies (1998)			
	NPL83	Witte, L., et al., "Monoclonal antibodies targeting the VEGF receptor-2 (Flk1/KDR) as an anti-angiogenic therapeutic strategy," Cancer Metastasis Rev. 17:155-61, Kluwer Academic Publishers (1998)			
	NPL84	Yuan, T.T., et al., "Subtype-Independent Immature Secretion and Subtype-Dependent Replication Deficiency of a Highly Frequent, Naturally Occurring Mutation of Human Hepatitis B Virus Core Antigen." J. Virol. 73:10122-10128, American Society for Microbiology (1999)			
\bigvee	NPL85	Zhou, S., et al., "Cys Residues of the Hepatitis B Virus Capsid Protein Are Not Essential for the Assembly of Viral Core Particles but Can Influence Their Stability," J. Virol. 66:5393-5398, American Society for Microbiology (1992)			
/NK/ N	NPL86	Dialog File 351, Accession No. 3908140, English language abstract for EP 0 201 416 B1			
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